

# Semantics of Advanced Data Types

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# Course Outline

Lecture 1: Syntax and semantics of ADTs and nested types ✓

Lecture 2: Syntax and semantics of GADTs ✓

Lecture 3: Parametricity for ADTs and nested types ✓

Lecture 4: Parametricity for GADTs

# Lecture 4:

## Parametricity for GADTs

- In a parametric model, each type  $T[A]$  with a free type variable  $A$  has a set interpretation  $T_0 : Set \rightarrow Set$  and a relational interpretation  $T_1 : Rel \rightarrow Rel$  such that if  $R : Rel(A, B)$  then  $T_1 R : Rel(T_0 A, T_0 B)$  (and IEL holds).
- We argued last time that there are parametric models for calculi supporting ADTs and nested types.
- Can we also construct parametric models for calculi supporting GADTs?
- For this we need set and relational interpretations for GADTs as described above.
- Such interpretations exist for discrete semantics of GADTs.
- These satisfy the IEL (and AT).
- For fully functorial semantics of GADTs the situation is more complicated.

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# *Set* and *Rel* Interpretations of GADTs

- If

data  $G : \text{Set} \rightarrow \text{Set}$  where  
 $c : \forall\{A : \text{Set}\} \rightarrow FA \rightarrow G(KA)$

then

- The set interpretation  $G_0$  of  $G$  is  $\mu H_0$ , where  $H_0 J = \text{Lan}_{K_0} F_0$ , i.e.,

$$G_0 \cong \mu J. \text{Lan}_{K_0} F_0$$

This left Kan extension is in *Set*, and  $K_0$  interprets  $K$  and  $F_0$  interprets  $F$  in *Set*.

- The relational interpretation  $G_1$  of  $G$  is  $\mu H_1$ , where  $H_1 J = \text{Lan}_{K_1} F_1$ , i.e.,

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This left Kan extension is in *Rel*, and  $K_1$  interprets  $K$  and  $F_1$  interprets  $F$  in *Rel*.

# *Set* and *Rel* Interpretations of GADTs

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data  $G : \text{Set} \rightarrow \text{Set}$  where  
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# A Problematic GADT

- Consider the GADT  $G$  whose single constructor  $c$  provides only an element for the instance  $G\ T$

$\text{data } G : \text{Set} \rightarrow \text{Set} \text{ where}$   
 $c : G\ T$

- For this GADT,  $F\ U = T$  and  $K\ U = T$  for all  $U : T$ .
- The picture we should have in mind is:

$$\begin{array}{ccc} \text{Set}^0 & \xrightarrow{F = \lambda u : \text{Set}^0.1} & \text{Set} \\ & \searrow^{K = \lambda u : \text{Set}^0.1} & \nearrow_{\text{Lan}_K F} \\ & \text{Set} & \end{array}$$

# A Problematic GADT

- Consider the GADT  $G$  whose single constructor  $c$  provides only an element for the instance  $G \top$

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- For this GADT,  $F U = \top$  and  $K U = \top$  for all  $U : \top$ .
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# Computing the *Set* Interpretation for G

- The set interpretation  $G_0$  of G is  $G_0A = (\text{Lan}_{\lambda u.1} \lambda u.1) A$ .
- This is computed as

$$\left( \bigcup_{U: \text{Set}^0, f: (\lambda u.1)U \rightarrow A} (\lambda u.1)U \right) / \sim = \left( \bigcup_{U: \text{Set}^0, f: 1 \rightarrow A} 1 \right) / \sim$$

- Here,  $U$  is the unique object of  $\text{Set}^0$ ,  $*$  is the unique element of the singleton set 1, and  $\sim$  is the smallest equivalence relation such that  $(U, f, *)$  and  $(U, f', *)$  are related if

$$\begin{array}{ccc} (\lambda u.1)U & \xrightarrow{(\lambda u.1) id_U} & (\lambda u.1)U \\ & \searrow f & \swarrow f' \\ & A & \end{array} = \begin{array}{ccc} 1 & \xrightarrow{id_1} & 1 \\ & \searrow f & \swarrow f' \\ & A & \end{array}$$

commutes, i.e., if  $f = f'$ .

- Since the relation generating  $\sim$  is already an equivalence relation,

$$(U, f, *) \sim (U, f', *) \text{ iff } f = f'$$

- So, for the fully functorial semantics,

$$G_0A = (\text{Lan}_{\lambda u.1} \lambda u.1) A = \{f : 1 \rightarrow A\} = A$$

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- The relational interpretation  $G_1$  of GR is  $G_1 R = (Lan_{\lambda u. Eq_1} \lambda u. Eq_1) R$ .
- We need that if  $R : Rel(A, B)$  then

$$(Lan_{\lambda u. Eq_1} \lambda u. Eq_1) R : Rel((Lan_{\lambda u. 1} \lambda u. 1) A, (Lan_{\lambda u. 1} \lambda u. 1) B) = Rel(A, B)$$

- Consider the relation  $R = (1, 2, 1 \times 2)$ , where  $1 \times 2$  relates the single element \* of 1 to both elements of 2.
- For the IEL to hold for GADTs we expect the domain of  $(Lan_{\lambda u. Eq_1} \lambda u. Eq_1) R$  to be 1, but it is not!

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# IEL Does Not Hold for GADTs

- We can compute the domain of  $(Lan_{\lambda u. Eq_1} \lambda u. Eq_1) R$  as

$$\begin{aligned}
 \pi_1((Lan_{\lambda u. Eq_1} \lambda u. Eq_1) R) &= \pi_1(\varinjlim_{U: Rel^0, m: (\lambda u. Eq_1) U \rightarrow R} (\lambda u. Eq_1) R) \\
 &= \pi_1(\varinjlim_{U: Rel^0, m: Eq_1 \rightarrow R} Eq_1) \\
 &= \varinjlim_{U: Rel^0, m: Eq_1 \rightarrow R} (\pi_1 Eq_1) \\
 &= \varinjlim_{U: Rel^0, m: Eq_1 \rightarrow R} 1 \\
 &= \bigcup_{U: Rel^0, m: Eq_1 \rightarrow R} 1 / \approx
 \end{aligned}$$

- Here,  $U$  is the unique object of  $Rel^0$ ,  $*$  is the unique element of the singleton set  $1$ , and  $\approx$  is the smallest equivalence relation such that  $(U, m, *)$  and  $(U, m', *)$  are related if

$$\begin{array}{ccc}
 (\lambda u. Eq_1) U & \xrightarrow{(\lambda u. Eq_1) id_U} & (\lambda u. Eq_1) U \\
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 \end{array}$$

commutes, so  $(U, m, *) \approx (U, m', *)$  iff  $m = m'$ .

- So for the fully functorial semantics,

$$\pi_1(G_1 R) = (Lan_{\lambda u. Eq_1} \lambda u. 1) R = \{m : Eq_1 \rightarrow R\} = \{(!, k_0), (!, k_1)\}$$

where  $k_0$  and  $k_1$  send  $*$  to the two different elements of  $2$ .

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# Fully Functorial GADTs Do Not Admit Traditional Parametric Models

- Since the set and relational interpretations of GADTs are not appropriately fibred, the IEL cannot possibly hold.
- We conclude that fully functorial GADTs do not admit parametric models if GADTs are given a traditional relational semantics!
- We get the naturality consequences of parametricity functorial GADTs just from the functorial semantics.
- But if we can prove inhabitation results, or prove representation independence, or derive short cut fusion or deep induction rules for them, then it has to be from something other than parametricity.
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- So there's a trade-off, and the choice we make has consequences.

# Fully Functorial GADTs Do Not Admit Traditional Parametric Models

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# Course Outline

Lecture 1: Syntax and semantics of ADTs and nested types ✓

Lecture 2: Syntax and semantics of GADTs ✓

Lecture 3: Parametricity for ADTs and (truly) nested types ✓

Lecture 4: Parametricity for GADTs ✓